



03A

PATENT  
Attorney Docket No.: A-59049/DJB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ) Examiner: UNKNOWN  
WEISS, et al. ) Group Art Unit: UNKNOWN  
Serial No. 08/149,508 )  
Filed: 9 November 1993 )  
For: IN SITU MODIFICATION )  
AND MANIPULATION OF STEM )  
CELLS OF THE CENTRAL )  
NERVOUS SYSTEM )

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231 on 11 April 1994.

Signed: Jan P. Brunelle  
Jan P. Brunelle

The PTO did not receive the following listed item(s) check in the amount of \$747

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Commissioner of Patents  
and Trademarks  
Washington, DC 20231

**RECEIVED**  
MAY 25 1994

APPLICATION DIVISION 411

Sir:

In response to the Notice to File Missing Parts of Application  
- Filing Date Granted dated 29 November 1993 enclosed are the  
following documents:

1. Executed Declaration and Power of Attorney for Patent Application;
2. Facsimile copy of Verified Statement (Declaration)  
Claiming Small Entity Status 37 CFR 1.9(f) and 1.27(c)  
- Small Business Concern;

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~~1 205 327.00 CH~~

~~080 MH 04/26/94 08149508~~

~~1 205 65.00 CH~~

~~080 MH 04/26/94 08149508~~

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MM20466 05/23/94 08149508  
MM20467 05/23/94 08149508

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06-1300 020 205  
06-1300 020 203

355.00CH 201  
65.00CH  
327.00CH

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Serial No.: 08/149,508  
Filed: 9 November 1993

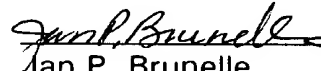
3. Copy of Notice to File Missing Parts of Application - Filing Date Granted;
4. Our Check No. 64534 in the amount of \$747.00 to cover the surcharge and filing fee;
5. Assignment;
6. Petition for Extension of Time of three months; and
7. Our Check No. 64535 in the amount of \$420.00 for the extension fee.

The originally executed Verified Statement (Declaration) Claiming Small Entity Status will be forwarded when it becomes available.

The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1300 (Our Order No. A-59049/DJB). A duplicate copy of this sheet is enclosed.

Respectfully submitted,

FLEHR, HOHBACH, TEST,  
ALBRITTON & HERBERT

  
Jan P. Brunelle  
Reg. No. 35,081

Four Embarcadero Center  
Suite 3400  
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Telephone: (415) 781-1989  
Dated: 11 April 1994



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
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03/149,508 11/09/93 WEISS S A59049DJE

03A1/1129

FLEHR, HONBACH, TEST,  
ALBRITTON & HERBERT  
SUITE 3400  
FOUR EMBARCADERO CENTER  
SAN FRANCISCO, CA 94111-9127

DATE MAILED: 0000

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

11/29/93

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for large entities or \$ 65 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☐ large entity, ☐ small entity (verified statement filed), is \$ 1494.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☒ The statutory basic filing fee is: ☒ missing ☐ insufficient. Applicant as a ☒ large entity ☐ small entity, must submit \$ 710 to complete the basic filing fee.
2. ☒ Additional claim fees of \$ 654 as a ☒ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. ☒ The oath or declaration:  
☒ is missing.  
☐ does not cover items omitted at time of execution.

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**MAY 25 1994**

**APPLICATION DIVISION 411**

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.

4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
5. ☐ The signature to the oath or declaration is: ☐ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:  
  
An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ \_\_\_\_\_ under 37 CFR 1.17(k), unless this fee has already been paid.
8. ☐ A \$ \_\_\_\_\_ processing fee is required for returned checks. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because check was returned without payment.